



Justice... Professionalism... Service... Since 1886

## 1.0 Law & Legal CLE Credit – A/V Approval #1114601

Recording Date - May 15, 2019

---

Meeting Location	Date	Time	Topic
King County Bar Association 1200 Fifth Avenue - Suite 700 Seattle, WA	<b>Wednesday, May 15, 2019</b>	12:00 PM to 1:15 PM	Life Cycle of Emerging Growth Companies - Planning, Practicalities and Pitfalls

---

### AGENDA

- 12:00 PM** Introduction
- 12:10 PM** Presentation: ‘Life Cycle of Emerging Growth Companies - Planning, Practicalities and Pitfalls’, by Michael J. Brown, Ryan, Swanson & Cleveland, PLLC  
Follow a company from concept and formation, through staffing, IP protection and capitalization, to liquidity, and learn about practitioners’ strategies and techniques.
- 1:15 PM** Adjourn

---

### SPEAKER BIOGRAPHY

**Michael J. Brown, Ryan, Swanson & Cleveland, PLLC** - Mike is a Member of Ryan, Swanson & Cleveland, PLLC, and practices in the areas of securities law, corporate finance, mergers and acquisitions, project finance, officer and director liability, internal investigations, intellectual property licensing, high net worth individual representation and general corporate and commercial law. Mike has represented issuers in scores of private and public offerings of both equity and debt, including angel, seed, venture and institutional investments. He has extensive experience in business combinations and financings of all types and sizes, from less than \$1 million to over \$1 billion. He has had extensive dealings with underwriters, investment bankers, broker-dealers, venture capital funds, private equity firms and other institutional investors and service providers. In addition, he has served as general corporate counsel to a wide range of companies, and has advised boards of directors, special committees, directors and officers on particular legal challenges, including liability avoidance. He has further advised many executives on executive employment agreements and options and benefits packages, as well as their rights upon termination or departure.

Mike has worked on financings and transactions in a wide variety of industries, including: real estate; information technology, Internet and software, fintech, biotech, medical device, alternative and nuclear energy and other technologies; aerospace and avionics; manufacturing; distribution; retail; transportation; service providers; seafood and fishing; gaming (including casino finance); media and entertainment (including online games and other media products); food and restaurants; construction; pulp and paper; franchising; financial institutions; financial service providers and broker/dealers; leasing; travel; and other industries.

Mike has worked on many matters involving foreign components, and deals with lawyers from Canada, Mexico, Australia, the Caribbean, and various countries in Europe, South America, Asia, and the Middle East. He speaks or has studied Spanish, Italian, French, German, Persian and Hebrew. He is has been an active karate practitioner for decades, has performed on the violin in Carnegie Hall and Philharmonic Hall in New York, and is Co-Chair of the Marine Corps Scholarship Foundation for the Northwest.